

E. SLAVERY ERA DISCLOSURE

a. Slavery Era Disclosure and Financial Reparations:

Per Bill 030022, passed by City Council on April 3, 2003, and signed by the Mayor on April 24, 2003:

“Each depository shall also certify compliance with Section 17-104 of the Philadelphia Code and provide the City with a long-term strategic plan to address disparities in its lending and investment activities by January 1 of each year. 17-104 are the prerequisites to the Execution and Validity of City Contracts for Slavery Era Business/Corporate Insurance Disclosure and Financial Reparations. If it has disclosed, pursuant to this subsection, slavery policies it, or its predecessor, has sold or profits from slavery it, or its predecessor, has received, provide the City with a statement of financial reparations.

The reparations statement shall include a description of any new financial products or programs developed by the depository to address racial disparity in its lending and investment activities.”

The disclosure required is an affidavit of a search of all records of the company or any predecessor company regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era. The names of any slaves or slaveholders described in those records must be disclosed in the affidavit, (including reference information that may be accessible on an internet accessible World Wide Web home page of the Institution).

- i. Attach the financial institution’s research of Slavery Era Disclosure. Label this Appendix III.**
- ii. Attach the financial institution’s affidavit of Slavery Era Disclosure issued to the City of Philadelphia Procurement Department in accordance with Philadelphia City Code Chapter 17-104. Label this Appendix IV.**

Template:

<https://www.phila.gov/media/20221013145219/City-of-Philadelphia-Slavery-Disclosure-Affidavit.pdf>

APPENDIX III

SLAVERY ERA DISCLOSURE

PNC Public Disclosure
Reportable Business Activities Pre-1865

The PNC Financial Services Group, Inc. extensively reviewed the historical records of banks it has acquired and has discovered two instances of reportable pre-1865 business activities in the records of the National Bank of Kentucky, a predecessor of National City Bank, which is a predecessor of PNC Bank.

- In 1836, the National Bank of Kentucky loaned \$200,000 to the City of Louisville. Records indicate the City then invested in the Lexington & Ohio Railroad Company.
- In 1852, the National Bank of Kentucky loaned \$135,000 to the Louisville & Nashville Railroad Company.

Research indicates that both railroads used slave labor. There is no evidence that any additional transactions were conducted with either railroad.

Questions: Official government questions about this disclosure should be directed to Thomas Lamb in Government Affairs. Media questions should be directed to Marcey Zwiebel in Corporate Communications.

APPENDIX IV

AFFADAVIT OF SLAVERY ERA DISCLOSURE

CITY OF PHILADELPHIA - BUSINESS, CORPORATE AND SLAVERY ERA INSURANCE ORDINANCE

A Business Entity entering into a Contract with City must complete an Affidavit disclosing any and all records of Participation or investment in, or Profits derived from Slavery, including Slaveholder Insurance Policies, during the Slavery Era. The Business Entity must complete and submit the Affidavit and any attachments to the Procurement Department. This is required only of the Business Entity actually selected for award of a Contract. It must be done after the Contract or Contract amendment has been executed. Questions regarding the Affidavit may be directed to the Procurement Department Public Information at (215) 686-4720 or (215) 686-4721. * *Depositories should submit this form to the City Treasurer's Office as a part of the annual depository Request for Information process.* *

Procurement Department Contract Number: N/A - Designation of Depository

Department Contact Person: N/A - Submit to City Treasurer's Office

AFFIDAVIT DISCLOSING SLAVERY ERA PARTICIPATION, INVESTMENTS, OR PROFITS

1. I, Michael Gentile, am authorized to bind contractually the Business Entity identified below.

2. Information about the Business Entity entering into a Contract with the City is as follows:

The PNC Financial Services Group, Inc.	(215) 486-0414	(215) 585-1222
Business Entity Name	Phone	Fax
1600 Market Street	Philadelphia	PA
Street Address	City	State
		19103
		Zip

3. Has the Business Entity submitted the Slavery Affidavit previously? NO X YES

If "NO", complete Section 4, 5, and 6. If "YES", list the date of last prior submission 7/29/2025 and skip to Section 6 and execute the form unless the Business Entity has discovered new information not disclosed in prior submissions. In that instance, continue with Section 4.

4. The Business Entity came into existence in (year).

5. The Business Entity has searched its records and those of any Predecessor Companies for information relating to Participation or Investments in, or Profits derived from Slavery or Slaveholder Insurance Policies. Based on that research, the Business Entity represents that:

 The Business Entity found no records that the Business Entity or any of its Predecessor Business Entities had any Participation or Investments in, or derived Profits from, Slavery or Slaveholder Insurance Policies during the Slavery Era.

 The Business Entity found records that the Business Entity or its Predecessor Companies Participated or invested in, or derived Profits from Slavery during the Slavery Era. The nature of that Participation, Investment, or Profit is described on the attachment to the Affidavit and incorporated herein.

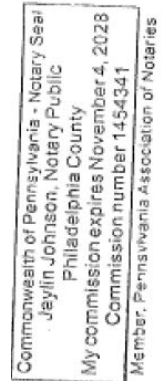
 The Business Entity found records that the Business Entity or its Predecessor Companies bought, sold, or derived Profits from Slaveholder Insurance Policies during the Slavery Era. The names of any Enslaved Persons or Slaveholders under the Policies are listed on the attachment to this Affidavit and incorporated herein.

6. I declare under penalty of perjury under the laws of the Commonwealth of Pennsylvania that the representations made herein are true and correct to the best of my knowledge.

Executed on June 18, 2024 at Philadelphia Pennsylvania
(Date) (City) (State)

Signature: [Signature] Title: Vice President

Notary: [Signature]



Definitions

Business Entity means any individual, domestic corporation, foreign corporation, association, syndicate, joint stock company, partnership, joint venture or unincorporated association, including any parent company, subsidiary, exclusive distributor, or company affiliated therewith, engaged in a business or commercial enterprise.

City means The City of Philadelphia.

Contract means any agreement, franchise, lease or concession including an agreement for any occasional professional or technical personal services, the performance of any work or service, the provision of any materials or supplies or rendering of any service to the City of Philadelphia or the public, which is not awarded or entered into with or on behalf of the City of Philadelphia or any Department or Agency of the City.

Enslaved Person means any person who was wholly subject to the will of another and whose person and services were wholly under the control of another and who was in a state of enforced compulsory service to another during the Slavery Era.

Investment means to make use of an Enslaved Person for future benefits or advantages.

Participation means having been a Slaveholder during the Slavery Era.

Predecessor Business Entity means an entity whose ownership, title and interest, including all rights, benefits, duties and liabilities were acquired in an uninterrupted chain of succession by the Business Entity.

Profit means any economic advantage or financial benefit derived from the use of Enslaved Persons.

Slaveholder means holders of Enslaved Persons, owners of business enterprises using Enslaved Persons, owners of vessels carrying Enslaved Persons or other means of transporting Enslaved Persons, merchants or financiers dealing in the purchase, sale or financing of the business of Enslaved Persons.

Slaveholder Insurance Policies means policies issued to or for the benefit of Slaveholders to insure them against the death of, or injury to, Enslaved Persons.

Slavery means the practice of owning Enslaved Persons.

Slavery Era means that period of time prior to June 19, 1865.

City of Philadelphia

APPENDIX V

SELECT RESUMES OF PNC REPRESENTATIVES TO THE CITY OF PHILADELPHIA

Omar W. Dudyk – Senior Vice President & Market Manager, Community Development Banking

Omar W. Dudyk is PNC Bank's Senior Vice President and Market Manager of Community Development Banking for Philadelphia, Delaware, and Southern New Jersey. Prior to his current role, Dudyk spent more than five years in several roles but predominately as Director of Conduit Financing at Philadelphia Industrial Development Corporation (PIDC). In that role, he focused on driving growth into every corner of Philadelphia through government programs, grants, tax-exempt bonds, and loans targeted for real estate transactions to community based as well as large-scale economic development projects. Dudyk brings over 10 years of banking and community development finance experience with PNC to its clients and prospects. Now at PNC he manages a team of bankers focused on providing financing, financial education, philanthropic support, and advisory services to Greater Philadelphia PNC clients. At PNC he also received his formal credit training.

Active in a range of community organizations, Dudyk is a board member of the Regional Housing Legal Services, Philadelphia Association of Community Development Corporations, LISC Philadelphia Advisory Board and Camden Community Partnership, PIDC-Community Capital, PA CDFI Network and Urban Affairs Coalition. Dudyk received his B.A. degree from the University of Pennsylvania and has received continuing education credits from Carnegie Mellon University Tepper School of Business and Cornell University.

Samantha Funk – Executive Vice President, Head of PNC Public Finance

Samantha Funk, Executive Vice President, is the Head of PNC's Public Finance business, and is responsible for the PNC's Government Banking and Public Finance Capital Markets activities. Ms. Funk has spent her career on the origination of municipal capital solutions as well as the delivery of PNC's full banking platform to governmental, higher education and 501c3 entities. This includes the delivery and execution of bond underwriting, credit origination, treasury management and investment management solutions. Throughout her tenure in the public finance industry, Ms. Funk served as the lead investment banking representative for various large governments and transportation issuers. Her financing experience includes over \$27 billion in total issuance for state and local government issuers, transportation, general government, water and sewer, municipal authorities, school districts and higher education transactions.

Prior to joining to PNC in 2010, Ms. Funk was a member of the Public Finance Department at A.G. Edwards & Sons, Inc. and Wachovia Securities. Ms. Funk received BA in Business and Economics from Ursinus College and an MBA in Finance from Drexel University's Lebow College of Business. Ms. Funk holds the Series 7, 63 and 53 FINRA licenses.

Mary Kate Rogan– Director, Public Finance

Ms. Rogan joined PNC Capital Markets in 2019 and has over ten years of public finance experience. Ms. Rogan is the lead investment banking representative for the City of Philadelphia and responsible for day-to-day investment banking needs for the City. In addition to covering the City, Ms. Rogan is responsible for the Firm's state level coverage in the Commonwealth of Pennsylvania, State of Wisconsin and State of Illinois, as well as the State of Colorado, as part of the Firm's footprint expansion. Her financing experience includes general government, transportation, water and sewer, municipal authorities and higher education transactions.

Prior to joining PNCCM, Ms. Rogan was a member of the Public Finance department at Janney Montgomery Scott. Ms. Rogan graduated from Villanova University with a BS in Business Administration concentrating in Finance and holds the Series 52 and Series 63 FINRA licenses. Additionally, Ms. Rogan is a Board Member of the Pennsylvania Chapter of Women in Public Finance.

James Lanham– Managing Director, Public Finance

Mr. Lanham joined PNC Capital Markets LLC in 2015 as a Director in the Public Finance Department and brings fifteen years of experience in municipal finance. Mr. Lanham has participated as an issuer or advisor on over \$14 billion of financings since 2008, for GO, appropriation and varied revenue credits. At PNCCM, Mr. Lanham is a leader within the higher education and nonprofit group, as well as managing select major government relationships.

Prior to joining PNC, Mr. Lanham served as the Deputy Treasurer of the City of Philadelphia for over four years, serving as day-to-day debt manager for the City's \$8 billion portfolio, averaging over \$800 million in issuance per year

across four separate credits. Mr. Lanham's experience as an issuer for the fifth-largest city in the United States brings a unique perspective to assisting clients with their financing needs. Prior to his experience in the public sector, Mr. Lanham was a Consultant with Public Financial Management in New York City. Mr. Lanham graduated from Yale University with a BA, and holds the Series 63, Series 53, Series 52, and Series 79 FINRA licenses.

Jonathan Casiano – Regional Manager (Mid-Atlantic & Northeast), Public Finance

Jonathan Casiano is a Senior Vice President and Managing Director for PNC Bank's Public Finance division. Based in New York City, Jonathan leads a team of bankers focused on providing financing, cash management and investment services to government, education and non-profit clients in the Northeast and Midwest. Jonathan has directly led financings and managed the bank's overall relationship with major cities and states, including the cities of Chicago, New York and Denver; states of Illinois, Wisconsin, Maryland; and major governmental authorities including Chicago Public Schools and the Chicago Transit Authority. Prior to joining PNC in 2010, Jonathan worked as a reporter for The Star-Ledger, New Jersey's largest newspaper, covering politics, government and urban affairs in Newark, NJ and Washington, DC.

Jonathan holds a BA in Interdisciplinary Studies from American University's School of Public Affairs, an MBA from Carnegie Mellon University's Tepper School of Business, and a Master's in Public Policy Management, also from Carnegie Mellon. Jonathan serves on the boards of the Civic Federation of Chicago and LUCHA, a non-profit affordable housing developer and advocacy organization, and was a 2019 Leadership Greater Chicago fellow.

Michael Gentile – Vice President & Relationship Manager, Public Finance

Michael Gentile, Vice President, is located in Philadelphia and serves as a Relationship Manager within PNC's Public Finance group. Michael is focused on delivering PNC's full suite of capabilities to large government entities throughout the Philadelphia region. Additionally, Michael is responsible for oversight of PNC's education and not-for-profit relationships throughout the Mid-Atlantic region.

Michael previously worked in PNC's Credit Products Group in Southeast Michigan supporting middle market and large corporate companies. Mr. Gentile attended the University of Pittsburgh, where he graduated with a BS in Business Administration concentrating in finance and a minor in economics. He holds the SIE, Series 52, and Series 63 FINRA licenses. Michael lives in south Philadelphia.

Daniel O'Connor, Assistant VP, Underwriter, Public Finance

Daniel O'Connor is an Assistant Vice President in the Credit Product Group for PNC within PNC's Public Finance vertical. With seven years' experience in the banking industry, Daniel has worked with a variety of not for profit and public entities to create solutions to credit needs for clients. His portfolio includes various Housing, Governmental and educational entities within Illinois, Maryland, Michigan, Ohio, Massachusetts, New Jersey, and Pennsylvania. In addition to the Public Finance sector, he spent time with other financial institutions within Commercial & Industrial underwriting. Daniel obtained his undergraduate degree (2017) from the University of Delaware. He lives in Philadelphia, PA.

Patrick Wilder – Senior Vice President, Treasury Management – Public Finance

Patrick Wilder has worked in banking for 17 years, the last 12 of which have been as a Treasury Management Officer covering Pennsylvania, Delaware, and New Jersey. Patrick supports the greater Philadelphia Market for public finance customers and prospects. Patrick is responsible for understanding the day-to-day management of an entity's cash flow and liquidity, including collections, disbursements, electronic banking, information reporting, and cyber security and fraud protection. His focus is offering cash management solutions that maximize working capital and improve process efficiencies, while minimizing costs and risk.

Prior to joining PNC, Patrick worked for JPMorgan Chase and Bank of America as a Treasury Management Officer supporting middle market companies in the tri-state area. Most recently Patrick work for OceanFirst Bank as a Senior Treasury Management Officer and Team Leader, covering business banking, middle market, and public finance customers. Patrick also has experience in customer service and operations prior to his work as a Treasury Management Officer.

Patrick has a Bachelor of Arts degree in Political Science from Dickinson College in Carlisle, PA.

Bob Edmiston – Vice President, Institutional Asset Management

Bob is a Vice President and Senior Business Development Officer with Institutional Asset Management in the Greater Philadelphia Region. In this role, he consults with clients to identify solutions and provide actionable insight specific to

the investment and administrative needs of long-term asset pools. He also provides retirement plan advisory services and liquidity solutions to corporate, municipal and non-profit clients.

Prior to joining the firm in 2015, he was an investment officer with Hirtle, Callaghan & Co., overseeing firm relationships with non-profit and higher education clients in Central and Eastern Pennsylvania. Previously, Bob was with The Vanguard Group where he was responsible for creating and delivering education and communication campaigns for non-profit, higher education, and corporate retirement plan sponsors and participants.

In the community, Bob volunteers his time with non-profit organizations in greater Philadelphia. He serves on the Board of Trustees of Dunwoody Village, a not-for profit continuing care retirement community in Newtown Square PA. He is a member of the investment committee for United Way of Greater Philadelphia and Southern New Jersey, and he serves as a student mentor at Cristo Rey Philadelphia High School.