

**Appendix III**  
**Research of Slavery Era Disclosure**

**TD Bank, N.A. has performed a search of its records, as well as those in existence for its predecessor institutions, and said search has not disclosed any records of investments or profits from slavery or slaveholder insurance policies during the Slavery Era.**

## **Appendix IV**

**Please see attached copy of the AFFIDAVIT DISCLOSING SLAVERY  
ERA PARTICIPATION INVESTMENTS OR PROFITS.**

CITY OF PHILADELPHIA

**Secretary's Certificate Disclosing Slavery Era Participation, Investments, or Profits**

1. I, Cody J. Botnick, hold the title of Assistant Secretary of TD Bank, N.A., a national banking association (the "Bank").

2. The Bank's main office is located at 2035 Limestone Road, Wilmington, Delaware 19808.

3. Has the Bank submitted the Affidavit Disclosing Slavery Era Participation, Investments, or Profits previously?

Commerce Bank, N.A., which merged into the Bank in May 2008, executed the Affidavit on December 14, 2007, and the Bank executed the Affidavit on June 25, 2010, June 27, 2011, June 26, 2012, May 24, 2013, May 22, 2014, April 15, 2015, April 11, 2016, June 5, 2017, May 21, 2018, June 17, 2019, June 8, 2020, June 28, 2021, and June 15, 2022.

4. The Bank was chartered as a national banking association on June 1, 2000.

5. The Bank has searched its records and those of any Predecessor Companies for information relating to Participation or Investments in, or Profits derived from Slavery or Slaveholder Insurance Policies. Based on that research, the Bank represents that the Bank found no records that the Bank or any Predecessor Company had any Participation or Investments in, or derived Profits from, Slavery or Slaveholder Insurance Policies during the Slavery Era.

IN WITNESS WHEREOF, the undersigned has executed this certificate on the 14<sup>th</sup> day of June, 2023.



Cody J. Botnick  
Cody J. Botnick, Assistant Secretary

State of New Jersey, County of Camden

On this 14<sup>th</sup> day of June 2023, personally appeared the above-named Cody J. Botnick, Assistant Secretary of TD Bank, N.A., who made oath that the foregoing Affidavit subscribed by him is true.

Melissa M. Doran  
Name: Melissa M. Doran  
Notary Public  
Commission Expires: 10/31/2027

