## **SETTLEMENT AGREEMENT**

This Settlement Agreement (the "Agreement") is made and entered into by and among the City of Philadelphia Board of Ethics (the "Board"); J. Shane Creamer, Jr., the Executive Director of the Board (the "Executive Director"); and Team Clean, P02004 ("Team Clean" or "Respondent"), collectively referred to as "the Parties," each a "Party."

### **RECITALS**

- A. The Board is an independent, five-member board of the City of Philadelphia established in 2006 by voter approval of an amendment to the Philadelphia Home Rule Charter (the "Charter"). Pursuant to Section 4-1100 of the Charter and Chapter 20-1200 of the Philadelphia Code (the "Code"), the Board is charged with administering and enforcing Philadelphia's lobbying law.
- B. Team Clean is a registered principal for the calendar year 2025 pursuant to Philadelphia's lobbying law.

# The City's Lobbying Law: City Code Chapter 20-1200 & Board Regulation No. 9

- C. Philadelphia's lobbying law is found at Chapter 20-1200 of the Code and Board Regulation No. 9 ("Regulation No. 9"), which provides a detailed interpretation of Chapter 20-1200 (the "Lobbying Law").
- D. A "principal" is an individual, association, corporation, partnership, business trust or other person that engages in lobbying on its own behalf or on whose behalf a lobbying firm or lobbyist engages in lobbying. Regulation No. 9, ¶ 9.1(z). Principals are required to register with the Board within ten days of incurring expenses for lobbying in that quarter of a calendar year exceeding \$2,500. *Id.* at ¶ 9.2(a).
- E. Regulation No. 9, Paragraph 9.9 requires registered principals to electronically file an expense report with the Board for each quarter in a calendar year, beginning with the quarter in which it registers. If a registered principal's lobbying expenses are \$2,500 or less in a given quarter, the principal may, in lieu of a report, file a statement so stating. *Id*.
- F. For the period of April 1 through June 30, a registered principal who is required to file must file a Quarter 2 Expense Report or a statement in lieu of the report (collectively "Q2") by July 30. Regulation No. 9,  $\P$  9.8.
- G. The failure to file an expense report as required by Regulation No. 9 is subject to a civil penalty not exceeding \$250 for each late day, provided that the total fines that may be imposed for failure to file a particular registration or report shall not exceed \$2,000. Regulation No. 9, ¶ 9.26.

## **Background**

- H. Team Clean is a registered principal for the calendar year 2025 pursuant to the Lobbying Law.
- I. As a registered principal for the calendar year 2025 pursuant to the Lobbying Law, Team Clean was required to file a Q2 2025 by July 30, 2025.
- J. Team Clean did not file a Q2 2025 by July 30, 2025.
- K. Board staff contacted Team Clean and alerted Team Clean on multiple occasions to their failure to file a Q2 2025.
- L. Team Clean filed a Q2 2025 statement in lieu of the report on September 10, 2025.
- M. The Parties desire to enter into the Agreement in order to resolve the issues described herein.

#### **AGREEMENT**

The Parties hereby agree to the following:

- 1) Recitals. The Recitals above are made part of this Agreement as if fully set forth herein.
- 2) Civil Monetary Penalties. The total civil monetary penalty for the violation described below is \$2,000.00.

**Failure to Timely File Q2 2025.** By failing to timely file a Q2 2025 with the Board, Team Clean violated Board Regulation No. 9.

- 3) Payment. Team Clean shall pay the aggregate civil penalty of \$2,000.00 on the following schedule until full satisfaction of the aggregate civil penalty has been made:
  - a. \$250.00 within 10 calendar days of the effective date of the Agreement;
  - b. An additional \$1,750.00 within 45 calendar days of the effective date of the Agreement.

Any payments due on a weekend or holiday shall be delivered no later than the next business day after such weekend or holiday. Payment shall be by check or money order made payable to the City of Philadelphia and delivered pursuant to the above schedule to the Board's office at the following address:

City of Philadelphia Board of Ethics One Parkway Building 1515 Arch Street 18th Floor Philadelphia, PA 19102

- 4) Respondent Release. Team Clean releases and holds harmless the Board and its staff from any potential claims, liabilities, and causes of action arising from the Board's investigation, enforcement, and settlement of the matter described in this Agreement.
- 5) Board Release. In consideration of the above and in exchange for Team Clean's compliance with the terms of this Agreement, the Board waives any further penalties or fines against Team Clean for the violation described in this Agreement.
- 6) Public Statements. The Parties shall not make any public statements that are inconsistent with the terms of the Agreement.
- 7) Fees and Costs of Judicial Enforcement. If the Board is forced to seek judicial enforcement of any part of this Agreement, and prevails, Team Clean shall be liable for attorneys' fees and costs reasonably expended in enforcing compliance with the Agreement. Fees for time spent by Board or City attorneys shall be calculated based upon standard and customary billing rates in Philadelphia for attorneys with similar experience.
- 8) Integration. This Agreement contains the entire agreement between the Parties.
- 9) **Board Approval.** The Executive Director or their designee shall submit a signed copy of the Agreement to the Board for approval.
- **10) Effective Date.** The Agreement shall become effective only by approval of the Board. The effective date of the Agreement shall be the date the Board Chair signs the Agreement.
- 11) Board Rejection. If the Board rejects the proposed Agreement, presentation to and consideration of this Agreement by the Board shall not preclude the Board or its staff from participating in, considering, or adjudicating an administrative enforcement action relating to matters described in this Agreement. If the Board rejects the proposed Agreement, nothing in the proposed Agreement shall be considered an admission by any party and, except this paragraph, nothing in this Agreement shall be effective.
- **12) Publication.** If the Board approves the proposed Agreement, the Board shall make this Agreement available to the public as required by Board Regulation 2.27 by posting a copy to the Board's website.

{The remainder of this page has been intentionally left blank; the signature page follows}

In witness of the foregoing, the Parties hereto have caused their duly authorized representatives to execute this Agreement on the dates set forth below:

Date | 0 31 25

10/30/2025

Date

11/19/2025 Date/ By the Executive Director of the Board of Ethics:

J. Shane Creamer, Jr., Executive Director

By Team Clean:

Michael Bowman

Michael A. Bowman, Esq. On behalf of Team Clean, Respondent

Approved by the members of the Board of Ethics:

Michael H. Reed. Chair