

## **Philadelphia Board of Ethics**

**Contact:** J. Shane Creamer, Jr., Executive Director, 215-686-9450

**For Immediate Release:** May 22, 2014

**PHILADELPHIA** – On May 21, 2014, the Board of Ethics approved a settlement agreement involving the Philadelphia School Partnership, Mark Gleason, PSP’s Executive Director, and Michael Wang, PSP’s Managing Director. The agreement resolves violations arising from the failure of PSP to register as a principal in 2012, its failure to file certain expense reports in 2012 and 2013, and the making of material omissions in the 2013 third quarter expense report it filed with the Board. It also resolves violations arising from Mr. Gleason’s and Mr. Wang’s failure to register as lobbyists in 2013.

A copy of the approved settlement agreement is attached.

*The Philadelphia Board of Ethics is a five-member independent board established by ordinance, approved by Philadelphia voters in May 2006, and installed on November 27, 2006. It is charged with providing ethics training for all City employees and enforcing City campaign finance, financial disclosure and conflict of interest laws. The Ethics Board has authority to render advice, investigate complaints and issue fines.*

###

## **SETTLEMENT AGREEMENT**

This Settlement Agreement is made and entered into by the Board of Ethics of the City of Philadelphia, the Philadelphia School Partnership, Mark Gleason, the Executive Director of the Philadelphia School Partnership, Michael Wang, Managing Director of the Philadelphia School Partnership, and J. Shane Creamer, Jr., the Executive Director of the Board of Ethics, jointly referred to as “the Parties.”

### **RECITALS**

- A. The Board of Ethics of the City of Philadelphia is a five-member, independent board established by ordinance, approved by Philadelphia voters in May 2006, and installed on November 27, 2006. Pursuant to Section 4-1100 of the Home Rule Charter and Chapter 20-1200 of the Philadelphia Code, the Board is charged with administering and enforcing Philadelphia’s Lobbying Law.
- B. The Philadelphia School Partnership is a local non-profit organization working on education issues. PSP provides grant funding to support programming at local public, charter, and parochial schools. Mark Gleason is the Executive Director of PSP. Michael Wang is the Managing Director of PSP.
- C. Philadelphia’s Lobbying Law is found at Chapter 20-1200 of the Philadelphia Code. Board Regulation No. 9 provides a detailed interpretation of Chapter 20-1200. The law regulates lobbying of both City and School District officials. Beginning on January 3, 2012, the Lobbying Law, as implemented by the Board, required principals, lobbying firms and lobbyists to register within 10 days of the commencement of lobbying activities. The first lobbying expense reports were then due within 30 days after the close of the first quarter of 2012. Therefore, the first quarter of 2012 was the first time any principal or lobbyist was required to register and file expense reports with the Board.
- D. Under the City’s Lobbying Law, a principal is a person who engages in lobbying on its own behalf or who pays someone else (a lobbyist) to engage in lobbying. Lobbying is an effort to influence government administrative or legislative action through direct communication, indirect communication, incurring office expenses, or by providing gifts, transportation, lobbying, or hospitality. If a principal spends more than \$2,500 on lobbying expenses in a quarter, the principal must register with the Board. An individual who lobbies on behalf of his or her employer must register as a lobbyist if, in a quarter, he or she is paid more than \$2,500 to lobby or spends 20 hours or more on lobbying activity.

- E. A principal must file an expense report for each quarter in which the principal spends more than \$2,500 on lobbying expenses. In an expense report, the principal must disclose the amount of money it spent that quarter on direct communications, indirect communications, and gifts, transportation, hospitality and lodging. For direct communications, the principal must disclose which officials it lobbied and the issue on which it lobbied and the position it took with regard to that issue. For indirect communications, the principal must disclose the issue on which it lobbied, the position it took with regard to that issue, the method of communication, and the group that was the recipient of the communication. For gifts, transportation, hospitality and lodging, the principal must disclose the recipient, what was provided, and the value of what was provided, if the cumulative value of such gifts, transportation, hospitality and lodging is \$200 or more in the calendar year.
- F. If a registered principal spends \$2,500 or less in a given quarter, the principal is not required to file a full expense report if it files with the Board a statement to that effect.
- G. Pursuant to Code Section 20-1207(1)(b) and Regulation No. 9 Paragraph 9.32(B), the failure to register as a lobbyist or principal or to file an expense report is subject to a civil penalty of \$250 for each late day up to a maximum of \$2,000 for any one violation.
- H. Pursuant to Code Sections 20-1205(8)(a)(xi) and 20-1207(1)(a) and Regulation No. 9 Paragraphs 9.27(A)(11) and 9.32(A), making a material misstatement or omission on a registration statement or expense report filed with the Board is subject to a civil penalty of \$2,000.
- I. PSP registered as a principal in August of 2013 and filed a 2013 3<sup>rd</sup> quarter expense report disclosing various lobbying expenditures.
- J. In December of 2013, Board enforcement staff received a complaint alleging that PSP had violated the City's Lobbying Law by failing to register as a principal and report lobbying activity in 2012 and in 2013 prior to August of that year. Because the complaint stated potential violations of the Lobbying Law, enforcement staff accepted the complaint and opened an investigation. In the course of the investigation, enforcement staff interviewed witnesses and obtained documents. At all times, PSP, Gleason, and Wang cooperated with the investigation.

K. As a result of the investigation, enforcement staff determined that:

1. In the 1<sup>st</sup> quarter of 2012, PSP spent more than \$2,500 on lobbying expenses. Therefore, PSP should have registered as a principal for 2012. PSP also should have filed a 2012 1<sup>st</sup> quarter expense report disclosing those expenditures.
  2. In the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> quarters of 2012, PSP engaged in lobbying of City and School District officials, but the lobbying was sporadic and minimal and its expenditures for that lobbying were less than \$2,500 in each of those quarters. As such, PSP should have filed statements for the 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> quarters of 2012 indicating lobbying expenditures of \$2,500 or less.
  3. In the first quarter of 2013, Gleason spent more than 20 hours lobbying on behalf of PSP and was compensated more than \$2,500 for that lobbying. Therefore, Gleason should have registered as a lobbyist for 2013.
  4. In the 1<sup>st</sup> quarter of 2013, PSP spent more than \$2,500 on lobbying expenses. Therefore, PSP should have filed a 2013 1<sup>st</sup> quarter expense report.
  5. In the 2<sup>nd</sup> quarter of 2013, PSP spent more than \$2,500 on lobbying expenses. Therefore, PSP should have filed a 2013 2<sup>nd</sup> quarter expense report.
  6. PSP failed to disclose certain direct and indirect communications in the 2013 3<sup>rd</sup> quarter expense report it filed with the Board. In that report, PSP also under-reported the amount of its lobbying expenditures.
  7. In the third quarter of 2013, Michael Wang spent more than 20 hours lobbying on behalf of PSP and was compensated more than \$2,500 for that lobbying. Therefore, Wang should have registered as a lobbyist for 2013.
- L. In consultation with Board enforcement staff, PSP, Gleason, and Wang prepared registration statements and expense reports and statements that correct the failures described in Paragraph K. These registration statements and expense reports and statements are attached hereto as Exhibit A.
- M. The Parties desire to enter into this Agreement in order to resolve the issues described herein.

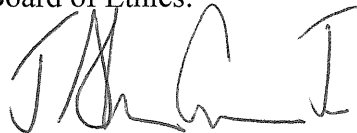
## **AGREEMENT**

The Parties agree that:

1. The Philadelphia School Partnership's failure to register as a principal in 2012 and to file expense reports and statements for that year violated Code Section 20-1207(1)(b). However, the Board waives the monetary penalties for these violations in light of PSP's cooperation and remedial actions.
2. Mark Gleason's failure to register as a lobbyist in 2013 violated Code Section 20-1207(1)(b). However, the Board waives the monetary penalties for this violation in light of Gleason's cooperation and remedial actions.
3. Michael Wang's failure to register as a lobbyist in 2013 violated Code Section 20-1207(1)(b). However, the Board waives the monetary penalties for this violation in light of Wang's cooperation and remedial actions.
4. The Philadelphia School Partnership's failure to file a 2013 1<sup>st</sup> quarter expense report violated Code Section 20-1207(1)(b) and is subject to a civil monetary penalty of \$2,000. However, the Board reduces the penalty for this violation to \$500 in light of PSP's cooperation and remedial actions.
5. The Philadelphia School Partnership's failure to file a 2013 2<sup>nd</sup> quarter expense report violated Code Section 20-1207(1)(b) and is subject to a civil monetary penalty of \$2,000. However, the Board reduces the penalty for this violation to \$500 in light of PSP's cooperation and remedial actions.
6. By making material omissions in the 2013 3<sup>rd</sup> quarter report it filed with the Board, PSP violated Code Section 20-1205(8)(a)(xi). The Board imposes a reduced penalty of \$500 for these violations in light of PSP's cooperation and remedial actions.
7. PSP shall pay the aggregate civil monetary penalty of \$1,500 within 14 days of the effective date of the Agreement. Payment shall be by check made out to the City of Philadelphia and delivered to the offices of the Board.
8. Within 14 days of the effective date of the agreement PSP shall:
  - 8.1. File a 2012 registration statement and pay the registration fee of \$100;
  - 8.2. File the 2012 1<sup>st</sup> quarter expense report attached at Exhibit A;
  - 8.3. File the 2012 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> quarter expense statements attached at Exhibit A;
  - 8.4. File the 2013 1<sup>st</sup> quarter expense report attached at Exhibit A;
  - 8.5. File the 2013 2<sup>nd</sup> quarter expense report attached at Exhibit A; and
  - 8.6. File the 2013 amended 3<sup>rd</sup> quarter expense report attached at Exhibit A.
9. Within 14 days of the effective date of the agreement Gleason shall:
  - 9.1. File a 2013 registration statement and pay the registration fee of \$100.

10. Within 14 days of the effective date of the agreement Wang shall:
- 10.1. File a 2013 registration statement and pay the registration fee of \$100.
11. PSP, Gleason, and Wang release and hold harmless the Board and its staff from any potential claims, liabilities, and causes of action arising from the Board's investigation, enforcement, and settlement of the matters described in this Agreement.
12. In consideration of the above and in exchange for PSP's, Gleason's, and Wang's compliance with all of the terms of the Agreement, the Board waives any further penalties or fines against them for the violations described in this Agreement.
13. The Parties will not make any public statements that are inconsistent with the terms of the Agreement.
14. If the Board or the City is forced to seek judicial enforcement of this Agreement, and prevails, PSP, Gleason, and Wang shall be liable for attorneys' fees and costs reasonably expended in enforcing compliance with the Agreement. Fees for time spent by Board or City attorneys shall be calculated based upon standard and customary billing rates in Philadelphia for attorneys with similar experience.
15. The Agreement contains the entire agreement between the Parties.
16. The Executive Director will submit a signed copy of the Agreement to the Board for approval.
17. The Agreement shall become effective upon approval by the Board. The effective date of the Agreement shall be the date the Board Chair signs the Agreement.
18. If the Board rejects the proposed Agreement, presentation to and consideration of the Agreement by the Board shall not preclude the Board or its staff from participating in, considering, or resolving an administrative adjudication of the matters described in the Agreement.
19. If the Board rejects the proposed Agreement, nothing in the proposed Agreement shall be considered an admission by either party and, except for paragraph 18, nothing in the Agreement shall be effective.

By the Executive Director of  
the Board of Ethics:



J. Shane Creamer, Jr.

Dated: \_\_\_\_\_

5/21/14

Dated:

5/19/14

By Philadelphia School Partnership:

Michael G. O'Neill, Board Chair

By Mark Gleason:

Dated:

5/20/14

Mark Gleason

By Michael Wang:

Dated:

5/20/14

Michael Wang

Approved by the Board of Ethics:

Dated:

5/21/2014

Michael H. Reed

Michael H. Reed  
Chair

## **EXHIBIT A**



## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☐ Check Box if AMENDMENT

01 Today's Date (month/day/year)	Year	Quarter	PLIS Number (Office Use Only)
	2012	1	

Type of Filer

☒ Principal

☐ Lobbyist

☐ Lobbying Firm

### 02 FILER INFORMATION

Name of Filer

Philadelphia School Partnership

Email Address

Mark.Gleason@philaschool.org

Phone Number

(484) 785-8118

Permanent Business Address

150 S. Independence Mall West Suite 1200

City

Philadelphia

State

PA

Zip Code

19106

### 03 LOBBYING EXPENDITURES

☐ Total Lobbying Expenses did not exceed \$2,500 during this quarter.

If you check this box, leave the rest of this section blank and move to Section 09.

Total Expenditures for Direct Communication	\$ \$1,320.00
Total Expenditures for Indirect Communication	\$ \$110.00
Total Expenditures for Gifts, Hospitality, Transportation and Lodging	\$ \$5,788.56
Total Lobbying Expenditures for the Quarter	\$ \$7,218.56

#### 04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

Lobbying Category (Select from the list on page 9)	Specific Subject Matter (Issue, Bill Number)	Position Taken (Support/Oppose/Amend/Proposed/Other)	Name of City Official & Agency
Education	School Autonomy	Supporting transformation of School District management to put more decision making authority in the hands of school principals.	Pedro Ramos, School Reform Commission
Education	School Autonomy	Supporting transformation of School District management to put more decision making authority in the hands of school principals.	Wendell Pritchett, School Reform Commission
Education	School Autonomy	Supporting transformation of School District management to put more decision making authority in the hands of school principals.	Joe Dworetzky, School Reform Commission
Education	School Autonomy	Supporting transformation of School District management to put more decision making authority in the hands of school principals.	Lori Shorr, School Reform Commission





**06 CITY OFFICIALS/EMPLOYEES WHO RECEIVED GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING**

<b>Name of Recipient City Official/Employee</b>	<b>Department &amp; Position of Recipient City Official/Employee</b>	<b>Description of Item</b>	<b>Value of Item</b>	<b>Date</b>	<b>Place of Receipt</b>	<b>Name &amp; Address of the Source of the Item</b>
Wendell Pritchett	School Reform Commission	Travel expenses: Denver	\$1,139.32	1/6/2012	Denver, CO	Philadelphia School Partnership
Lori Shorr	Mayor's Office of Education	Travel expenses: Denver	\$1,093.07	1/6/2012	Denver, CO	Philadelphia School Partnership
Michael Nutter	Mayor of Philadelphia	Travel expenses: Denver	\$1,258.22	1/6/2012	Denver, CO	Philadelphia School Partnership
Pedro Ramos	School Reform Commission	Travel expenses: Denver	\$1,093.07	1/6/2012	Denver, CO	Philadelphia School Partnership
Nicholas Campolongo	Mayor's Office of Philadelphia	Travel expenses: Denver	\$1,204.88	1/6/2012	Denver, CO	Philadelphia School Partnership

**08 SOURCE(S) OF CONTRIBUTIONS TO PRINCIPAL**

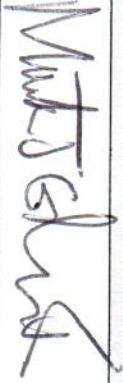
<b>Name of Individual, Association, Corporation, Partnership, Business Trust or Other Business</b>	<b>Permanent Business Address</b>	<b>City, State, Zip</b>	<b>Daytime Telephone Number</b>
William Penn Foundation	2 Logan Square	Philadelphia, PA 19103	(215) 988-1830
Jeffrey & Janine Yass	401 City Avenue	Bala Cynwyd, PA 19004	(610) 617-2600
Michael & Jeannie O'Neill	Two Paul Road	St. David's, PA 19087	(484) 684-1201
National Association of Charter School Authorizers	105 W. Adams Street, Suite 3500	Chicago, IL 60603	(312) 376-2300



**09 PRINCIPAL AFFIRMATION**

By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	



## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☐ Check Box if AMENDMENT

<b>01</b> Today's Date (month/day/year)	Year	Quarter	PLIS Number (Office Use Only)
	2012	2	

Type of Filer

☒ Principal

☐ Lobbyist

☐ Lobbying Firm

### 02 FILER INFORMATION

Name of Filer	Email Address	Phone Number	
Philadelphia School Partnership	Mark.Gleason@philiaschool.org	(484) 785-8111	
Permanent Business Address	City	State	Zip Code
150 South Independence Mall West Suite 1200	Philadelphia	Pa	19106

### 03 LOBBYING EXPENDITURES

☒ Total Lobbying Expenses did not exceed \$2,500 during this quarter.  
If you check this box, leave the rest of this section blank and move to Section 09.


Total Expenditures for Direct Communication	\$
Total Expenditures for Indirect Communication	\$
Total Expenditures for Gifts, Hospitality, Transportation and Lodging	\$
Total Lobbying Expenditures for the Quarter	\$



**09 PRINCIPAL AFFIRMATION**

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**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	





## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☐ Check Box if AMENDMENT

01 Today's Date (month/day/year)

Year

Quarter

PLIS Number (Office Use Only)

2012

3

Type of Filer

☒ Principal

☐ Lobbyist

☐ Lobbying Firm

### 02 FILER INFORMATION

Name of Filer

Philadelphia School Partnership

Email Address

Mark.Gleason@philaschool.org

Phone Number

(484) 785-8111

Permanent Business Address

150 South Independence Mall West Suite 1200

City

Philadelphia

State

Pa

Zip Code

19106

### 03 LOBBYING EXPENDITURES

☒ Total Lobbying Expenses did not exceed \$2,500 during this quarter.

If you check this box, leave the rest of this section blank and move to Section 09.

Total Expenditures for Direct Communication

\$

Total Expenditures for Indirect Communication

\$

Total Expenditures for Gifts, Hospitality, Transportation and Lodging

\$


Total Lobbying Expenditures for the Quarter

\$

**09 PRINCIPAL AFFIRMATION**

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**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	





## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☐ Check Box if AMENDMENT

**01** Today's Date (month/day/year)

Year

Quarter

PLIS Number (Office Use Only)

2012

4

Type of Filer

☒ Principal

☐ Lobbyist

☐ Lobbying Firm

### 02 FILER INFORMATION

Name of Filer

Email Address

Phone Number

Philadelphia School Partnership

Mark.Gleason@philaschool.org

(484) 785-8111

Permanent Business Address

City

State

Zip Code

150 South Independence Mall West Suite 1200

Philadelphia

Pa

19106

### 03 LOBBYING EXPENDITURES

☒ Total Lobbying Expenses did not exceed \$2,500 during this quarter.

If you check this box, leave the rest of this section blank and move to Section 09.

Total Expenditures for Direct Communication

\$

Total Expenditures for Indirect Communication

\$

Total Expenditures for Gifts, Hospitality, Transportation and Lodging

\$

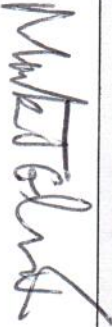
Total Lobbying Expenditures for the Quarter

\$

**09 PRINCIPAL AFFIRMATION**

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**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	





## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☐ Check Box if AMENDMENT

<b>01</b> Today's Date (month/day/year)	Year	Quarter	PLIS Number (Office Use Only)
	2013	1	

Type of Filer

☒ Principal

☐ Lobbyist

☐ Lobbying Firm

### 02 FILER INFORMATION

Name of Filer	Email Address	Phone Number	
Philadelphia School Partnership	Mark.Gleason@philaschool.org	(484) 785-8111	
Permanent Business Address	City	State	Zip Code
150 South Independence Mall West Suite 1200	Philadelphia	PA	19106

### 03 LOBBYING EXPENDITURES

☐ Total Lobbying Expenses did not exceed \$2,500 during this quarter.  
If you check this box, leave the rest of this section blank and move to Section 09.

Total Expenditures for Direct Communication	\$ \$2,198.00
Total Expenditures for Indirect Communication	\$ \$1,236.00
Total Expenditures for Gifts, Hospitality, Transportation and Lodging	\$ \$1,312.86
Total Lobbying Expenditures for the Quarter	\$ \$4,746.86

#### 04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

<b>Lobbying Category</b> (Select from the list on page 9)	<b>Specific Subject Matter</b> (Issue, Bill Number)	<b>Position Taken</b> (Support/Oppose/Amend/Proposed/Other)	<b>Name of City Official &amp; Agency</b>
Education	School closings	Support need for closing schools if under-enrolled and low-performing	Loree Jones, School Reform Commission
Education	School funding	Support new city funding for school district	Bill Green, City Council
Education	School funding	Support new city funding for school district	David Oh, City Council
Education	School funding	Support new city funding for school district	Maria Quinones-Sanchez, City Council
Education	School funding	Support new city funding for school district	Lori Shorr, Mayor's Office of Education
Education	Turnaround schools	Support extension of Renaissance turnaround program	Bill Hite, School District of Philadelphia
Education	Turnaround schools	Support extension of Renaissance turnaround program	Paul Kihn, School District of Philadelphia
Education	Staffing assignments and compensation policies	Amend policies to be less driven by seniority	Bill Hite, School District of Philadelphia
Education	Staffing assignments and compensation policies	Amend policies to be less driven by seniority	Paul Kihn, School District of Philadelphia

[illegible]



# 05 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Indirect Communication

Lobbying Category (Select from the list on page 9)	Specific Subject Matter (Issue, Bill Number)	Position Taken (Support/Oppose/Amend/Proposed/Other)	Method of Communication	Description of Recipient Group
Education	School closings	Support need for closing schools if under-enrolled and low-performing	Op/eds in newspapers	Public
Education	School closings	Support need for closing schools if under-enrolled and low-performing	Email newsletters	Public/PSP email list
Education	Staffing assignment and compensation policies	Amend policies to be less driven by seniority	Op/ed in newspaper	Public



**06 CITY OFFICIALS/EMPLOYEES WHO RECEIVED GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING**

<b>Name of Recipient City Official/Employee</b>	<b>Department &amp; Position of Recipient City Official/Employee</b>	<b>Description of Item</b>	<b>Value of Item</b>	<b>Date</b>	<b>Place of Receipt</b>	<b>Name &amp; Address of the Source of the Item</b>
Travis Larrier	Mayor Office of Education	Travel expenses - Nashville, TN	\$917.86	1/28/2013	Nashville, TN	Philadelphia School Partnership
Karen Kolsky	School District of Philadelphia	Travel expenses - Nashville, TN	\$395.00	1/28/2013	Nashville, TN	Philadelphia School Partnership


**08 SOURCE(S) OF CONTRIBUTIONS TO PRINCIPAL**

<b>Name of Individual, Association, Corporation, Partnership, Business Trust or Other Business</b>	<b>Permanent Business Address</b>	<b>City, State, Zip</b>	<b>Daytime Telephone Number</b>
Jeffrey & Janine Yass	401 City Avenue	Bala Cynwyd, PA 19004	(610) 617-2000
Patricia Kind Foundation	Care of Malinda Rath Gleanmede Trust Co. 1650 Market Street Suite 1200	Philadelphia, PA 19103	(215) 836-9536

**09 PRINCIPAL AFFIRMATION**

By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	





## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☐ **Check Box if AMENDMENT**

**01** Today's Date (month/day/year) Year Quarter PLUS Number (Office Use Only)

2013 2

Type of Filer

☒ Principal

☐ Lobbyist

☐ Lobbying Firm

**02 FILER INFORMATION**

Name of Filer

Email Address

Phone Number

Philadelphia School Partnership

Mark.Gleason@philaschool.org

(484) 785-8118

Permanent Business Address

City

State

Zip Code

150 S. Independence Mall West Suite 1200

Philadelphia

PA

19106

**03 LOBBYING EXPENDITURES**

- ☐ Total Lobbying Expenses did not exceed \$2,500 during this quarter.  
If you check this box, leave the rest of this section blank and move to Section 09.

Total Expenditures for Direct Communication \$ \$3,821.00

Total Expenditures for Indirect Communication \$ \$0.00

Total Expenditures for Gifts, Hospitality, Transportation and Lodging \$ \$0.00

Total Lobbying Expenditures for the Quarter \$ \$3,821.00

#### 04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

<b>Lobbying Category</b> (Select from the list on page 9)	<b>Specific Subject Matter</b> (Issue, Bill Number)	<b>Position Taken</b> (Support/Oppose/Amend/Proposed/Other)	<b>Name of City Official &amp; Agency</b>
Education	School funding	Support city funding for school district	Maria Quinones-Sanchez, City Council
Education	School funding	Support city funding for school district	Dennis O'Brien, City Council
Education	Turnaround schools	Support expansion of Renaissance turnaround program	Wendell Pritchett, School Reform Commission
Education	Turnaround schools	Support expansion of Renaissance turnaround	Bill Hite, School District of Philadelphia
Education	Staffing assignment and compensation policies	Amend policies to be less driven by seniority	Bill Hite, School District of Philadelphia
Education	Staffing assignment and compensation policies	Amend policies to be less driven by seniority	Paul Kihn, School District of Philadelphia
Education	Enrollment	Implement common enrollment system to make school application process easier for families	Paul Kihn, School District of Philadelphia
Education	Enrollment	Implement common enrollment system to make school application process easier for families	Lori Shorr, Mayor's Office of Education
Education	School Funding	Support city funding for school district	Kenyatta Johnson, City Council



#### 04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

Lobbying Category (Select from the list on page 9)	Specific Subject Matter (Issue, Bill Number)	Position Taken (Support/Oppose/Amend/Proposed/Other)	Name of City Official & Agency
Education	School funding	Support city funding for school district	Mark Squilla, City Council
Education	School funding	Support city funding for school district	Jim Kenney, City Council
Education	Enrollment	Support implementation of common enrollment system to make school application process easier for families	Pedro Ramos, School Reform Commission

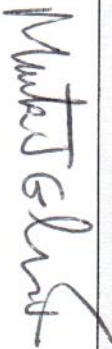
# 08 SOURCE(S) OF CONTRIBUTIONS TO PRINCIPAL

Name of Individual, Association, Corporation, Partnership, Business Trust or Other Business	Permanent Business Address	City, State, Zip	Daytime Telephone Number
Maguire Foundation	5 Tower Bridge	West Conshohocken, PA 19428	(484) 344-5004
Jeffrey & Janine Yass	401 City Avenue	Bala Cynwyd, PA 19004	(610) 617-2600
Charles Ryan c/o Matthew Thilbaut, CPA Rex Capital Advisors, LLC	50 Park Row West Suite 113	Providence, RI 02903	(410) 383-5370

**09 PRINCIPAL AFFIRMATION**

By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	





## Interim Lobbying - Quarterly Expense Report

(Please Type or Print in Ink)

☒ Check Box if **AMENDMENT**

<b>01</b>	Today's Date (month/day/year)	Year	Quarter	PLIS Number (Office Use Only)
		2013	3	

Type of Filer	<input checked="" type="checkbox"/> Principal	<input type="checkbox"/> Lobbyist	<input type="checkbox"/> Lobbying Firm
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### 02 FILER INFORMATION

Name of Filer	Email Address		Phone Number	
Philadelphia School Partnership	Mark.Gleason@philaschool.org		(484) 785-8118	
Permanent Business Address	City	State	Zip Code	
150 S. Independence Mall West Suite 1200	Philadelphia	PA	19106	

### 03 LOBBYING EXPENDITURES

☐ Total Lobbying Expenses did not exceed \$2,500 during this quarter.  
If you check this box, leave the rest of this section blank and move to Section 09.

Total Expenditures for Direct Communication	\$ \$1,915.50
Total Expenditures for Indirect Communication	\$ \$14,261.00
Total Expenditures for Gifts, Hospitality, Transportation and Lodging	\$ \$3,292.38
Total Lobbying Expenditures for the Quarter	\$ \$19,468.88

#### 04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

<b>Lobbying Category</b> (Select from the list on page 9)	<b>Specific Subject Matter</b> (Issue, Bill Number)	<b>Position Taken</b> (Support/Oppose/Amend/Proposed/Other)	<b>Name of City Official &amp; Agency</b>
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Lori Shorr, Mayor's Office of Education
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Pedro A. Ramos, School Reform Commission
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Joseph Dworetzky, School Reform Commission
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Feather Houstoun, School Reform Commission
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Wendell Pritchett, School Reform Commission
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Sylvia Simms, School Reform Commission
Education	Turnaround schools	Support expansion of Renaissance turnaround program	Bill Hite, School District of Philadelphia
Education	Turnaround schools	Support expansion of Renaissance turnaround program	Pedro Ramos, School Reform Commission
Education	Enrollment	Support School District implementation of a common enrollment system to make school application process easier for families	Pedro Ramos, School Reform Commission



#### 04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

<b>Lobbying Category</b> (Select from the list on page 9)	<b>Specific Subject Matter</b> (Issue, Bill Number)	<b>Position Taken</b> (Support/Oppose/Amend/Proposed/Other)	<b>Name of City Official &amp; Agency</b>
Education	Enrollment	Support School District implementation of a common enrollment system to make school application process easier for families	Wendell Pritchett, School Reform Commission
Education	Enrollment	Support School District implementation of a common enrollment system to make school application process easier for families	Sylvia Simms, School Reform Commission
Education	Enrollment	Support School District implementation of a common enrollment system to make school application process easier for families	Joseph Dworetzky, School Reform Commission
Education	Enrollment	Support School District implementation of a common enrollment system to make school application process easier for families	Bill Hite, School District of Philadelphia
Education	Enrollment	Support School District implementation of a common enrollment system to make school application process easier for families	Paul Kihn, School District of Philadelphia
Education	School funding	Support sales-tax extension for school funding	Dennis O'Brien, City Council
Education	School funding	Support sales-tax extension for school funding	David Oh, City Council
Education	School funding	Support sales-tax extension for school funding	James Kenney, City Council

**05 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Indirect Communication**

<b>Lobbying Category</b> (Select from the list on page 9)	<b>Specific Subject Matter</b> (Issue, Bill Number)	<b>Position Taken</b> (Support/Oppose/Amend/Proposed/Other)	<b>Method of Communication</b>	<b>Description of Recipient Group</b>
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Letters to editors and op/eds	Civic leaders and general public
Education	Staffing assignment & compensation policies	Amend policies to be less driven by seniority	Press releases	Civic leaders and general public
Education	Turnaround schools	Support expansion of Renaissance turnaround program	Parent and community leader outreach	Parents, guardians, community leaders
Education	Enrollment	Support School District implementation of common enrollment system to make school application process easier for families	Meetings	Jannie Blackwell, David Oh, James Kenney, City Council
Education	Enrollment	Support School District implementation of common enrollment system to make school application process easier for families	Briefing/ presentation	City Council members and staff
Education	Enrollment	Support School District implementation of common enrollment system to make school application process easier for families	Meetings	Loi Shorr, Mayor's Office of Education

**06 CITY OFFICIALS/EMPLOYEES WHO RECEIVED GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING**

<b>Name of Recipient City Official/Employee</b>	<b>Department &amp; Position of Recipient City Official/Employee</b>	<b>Description of Item</b>	<b>Value of Item</b>	<b>Date</b>	<b>Place of Receipt</b>	<b>Name &amp; Address of the Source of the Item</b>
Travis Larrier	Mayors Office of Education	Travel expenses - Seattle	\$1,646.19	7/9/2013	Seattle, WA	Philadelphia School Partnership
Tonya Wolford	School District of Philadelphia	Travel expenses - Seattle	\$1,646.19	7/9/2013	Seattle, WA	Philadelphia School Partnership




# 08 SOURCE(S) OF CONTRIBUTIONS TO PRINCIPAL

Name of Individual, Association, Corporation, Partnership, Business Trust or Other Business	Permanent Business Address	City, State, Zip	Daytime Telephone Number
William Penn Foundation	2 Logan Square	Philadelphia, PA 19103	(215) 988-1830
Michael & Susan Dell Foundation	P.O. Box 193867	Austin, TX 78716	(512) 600-5586
The Walton Family Foundation	P.O. Box 2030	Bentonville, AK 72716	(479) 464-1570

**09 PRINCIPAL AFFIRMATION**

By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

NAME	PHONE NUMBER	EMAIL ADDRESS
Mark Gleason	(484) 785-8111	Mark.Gleason@philaschool.org
SIGNATURE	DATE	
	5/20/14	