

PHILADELPHIA BOARD OF ETHICS

REGULATION NO. 1

ELECTRONIC FILING OF CAMPAIGN FINANCE REPORTS

WHEREAS, The Board of Ethics is empowered by Sections 4-1000 and 8-407 of the Home Rule Charter and Section 20-606(1) of the City of Philadelphia Ethics Code (“Ethics Code”) to make all necessary regulations to carry into effect the provisions of the Ethics Code; and

WHEREAS, Section 20-1008 of the Philadelphia Code provides that the provisions of Code Chapter 20-1000 (“Political Contributions and Expenditures”) shall be subject to the jurisdiction of the Board of Ethics under Section 20-606; and

WHEREAS, In particular, Section 20-1006(2) of the Philadelphia Code requires the Board of Ethics to mandate an electronic form for campaign finance disclosures required by that section, and Section 20-1006(3) requires the Board to devise efficient means of advising candidates, treasurers, political committees and other persons of the requirements of that Section;

NOW THEREFORE, The Board of Ethics hereby adopts this Regulation No. 1, relating to the “Electronic Filing Of Campaign Finance Reports.”

1.1 A filing of a report of receipts and expenditures pursuant to section 20-1006 of The Philadelphia Code must be made by submission to the Philadelphia Department of Records (acting on behalf of the Board of Ethics) of a Compact Disc containing the report pursuant to the technical specifications set forth in the attached Exhibit “A.”

1.2 When the Department issues a public notice that it is prepared to receive the reports through on-line submission, such reports may, alternatively, be filed in such manner, in the form prescribed by the Department of Records.

1.3 Such filing shall be accompanied by a written statement, on a form available from the Department of Records, signed by the person making the filing, that subscribes and swears to the information set forth in the filing.

1.4 The electronic filing requirement pursuant to Section 20-1006 of the Philadelphia Code and this Regulation shall apply only to filings that identify one or more contributions made to or received by or expenditures made by one of the following:

- a. a candidate for elective office of the City of Philadelphia; or
- b. a political committee

where the contribution or expenditure was for the purpose of influencing an election concerning an elective office of the City of Philadelphia.

For purposes of this Regulation the phrase “elective office of the City of Philadelphia” shall include only those offices identified in Code Section 20-1001(5), to wit, the following offices of the City and County of Philadelphia:

- Mayor
- District Attorney
- City Controller
- Register of Wills
- Sheriff
- Clerk of Quarter Sessions
- City Commissioner
- City Council

Approved by the Board December 18, 2006
Became effective January 17, 2007
Amended by adding ¶ 1.4 approved by Board August 21, 2007
Became effective September 21, 2007