



## Interim Lobbying - Quarterly Expense Report

Received  
**JAN 30 2014**  
 Philadelphia Board of Ethics

(Please Type or Print in Ink)			
<input type="checkbox"/> <b>Check Box if AMENDMENT</b>			
<b>01</b> Today's Date (month/day/year)	Year	Quarter	PLIS Number (Office Use Only)
1/28/2014	2013	4	
<b>Type of Filer</b>			
<input checked="" type="checkbox"/> Principal	<input type="checkbox"/> Lobbyist	<input type="checkbox"/> Lobbying Firm	
<b>02 FILER INFORMATION</b>			
Name of Filer		Email Address	Phone Number
Morgan Stanley & Co. LLC		thomas.tulp@morganstanley.com	(212) 761-2185
Permanent Business Address	City	State	Zip Code
1585 Broadway	New York	NY	10036
<b>03 LOBBYING EXPENDITURES</b>			
<input checked="" type="checkbox"/> Total Lobbying Expenses did not exceed \$2,500 during this quarter. If you check this box, leave the rest of this section blank and move to Section 09.			
Total Expenditures for Direct Communication			\$ \$0.00
Total Expenditures for Indirect Communication			\$ \$0.00
Total Expenditures for Gifts, Hospitality, Transportation and Lodging			\$ \$0.00
Total Lobbying Expenditures for the Quarter			\$ \$0.00

**04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication**

Lobbying Category (Select from the list on page 9)	Specific Subject Matter (Issue, Bill Number)	Position Taken (Support/Oppose/Amend/Proposed/Other)	Name of City Official & Agency
None.			

**05 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Indirect Communication**

Lobbying Category (Select from the list on page 9)	Specific Subject Matter (Issue, Bill Number)	Position Taken (Support/Oppose/Amend/Proposed/Other)	Method of Communication	Description of Recipient Group
None.				

**06 CITY OFFICIALS/EMPLOYEES WHO RECEIVED GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING**

Name of Recipient City Official/Employee	Department & Position of Recipient City Official/Employee	Description of Item	Value of Item	Date	Place of Receipt	Name & Address of the Source of the Item
None.						

**07 REPAYMENTS TO PRINCIPALS FOR GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING**

<b>Recipient of Item</b>	<b>Description of Item</b>	<b>Value of Item</b>	<b>Repayment Amount</b>
None.			

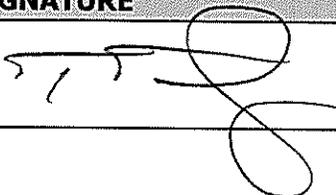
**08 SOURCE(S) OF CONTRIBUTIONS TO PRINCIPAL**

<b>Name of Individual, Association, Corporation, Partnership, Business Trust or Other Business</b>	<b>Permanent Business Address</b>	<b>City, State, Zip</b>	<b>Daytime Telephone Number</b>
None.			

**09 PRINCIPAL AFFIRMATION**

By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

**INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT**

<b>NAME</b>	<b>PHONE NUMBER</b>	<b>EMAIL ADDRESS</b>
Thomas Tulp	(212) 761-2185	thomas.tulp@morganstanley.com
<b>SIGNATURE</b>		<b>DATE</b>
		1-28-2017

**NAME OF PRINCIPAL:**

Morgan Stanley & Co. LLC

**YEAR:**

2013

**QUARTER:**

4

**10 LOBBYIST OR LOBBYING FIRM AFFIRMATION - Use a separate sheet for each Lobbyist or Lobbying Firm.**

Name of Lobbyist or Lobbying Firm	Email Address	Phone Number	
William Daley	william.daley@morganstanley.com	(312) 706-4058	
Permanent Business Address	City	State	Zip Code
440 S. LaSalle Street	Chicago	IL	60605

**LOBBYIST OR LOBBYING FIRM AFFIRMATION**

- By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.
- By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report to the extent described in my statement which follows. I affirm that the information reported on this expense report of which I have knowledge is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.
- By affixing my signature to this expense report, I affirm that I engaged in no lobbying activity for this principal during this expense report period. I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

**AUTHORIZED SIGNATURE**

*William Daley*

**DATE**

*1-28-14*

**STATEMENT OF LIMITED KNOWLEDGE**

My knowledge is limited to the Principal's costs and expenditures directly related to my lobbying activities on the Principal's behalf during the relevant quarter.

**NAME OF PRINCIPAL:** Morgan Stanley & Co. LLC

**YEAR:** 2013

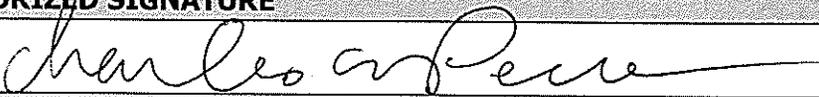
**QUARTER:** 4

**10 LOBBYIST OR LOBBYING FIRM AFFIRMATION - Use a separate sheet for each Lobbyist or Lobbying Firm.**

Name of Lobbyist or Lobbying Firm	Email Address	Phone Number	
Charles S. Peck	charles.s.peck@morganstanley.com	(212) 761-9053	
Permanent Business Address	City	State	Zip Code
1585 Broadway	New York	NY	10036

**LOBBYIST OR LOBBYING FIRM AFFIRMATION**

- By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.
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AUTHORIZED SIGNATURE	DATE
	1/28/13

**STATEMENT OF LIMITED KNOWLEDGE**

My knowledge is limited to the Principal's costs and expenditures directly related to my lobbying activities on the Principal's behalf during the relevant quarter.

## Lobbying Categories

<ul style="list-style-type: none"> <li>▪ Accounting</li> <li>▪ Agriculture</li> <li>▪ Airport/Aviation</li> <li>▪ Alternative Energy</li> <li>▪ Animals</li> <li>▪ Arts &amp; Entertainment</li> <li>▪ Banking/Finance</li> <li>▪ Beverages</li> <li>▪ Bicycles</li> <li>▪ Biotechnology</li> <li>▪ Bonds</li> <li>▪ Broadcasting</li> <li>▪ Buses</li> <li>▪ Cable Television</li> <li>▪ Campaign Finance</li> <li>▪ Child Care</li> <li>▪ Civil Service</li> <li>▪ Commerce</li> <li>▪ Construction</li> <li>▪ Contracts/Procurement</li> <li>▪ Crime</li> <li>▪ Disability</li> <li>▪ Domestic Violence</li> <li>▪ Education</li> <li>▪ Elections</li> <li>▪ Energy</li> </ul>	<ul style="list-style-type: none"> <li>▪ Engineering</li> <li>▪ Environment</li> <li>▪ Ethics</li> <li>▪ Fair Housing</li> <li>▪ Finance</li> <li>▪ Fire Safety</li> <li>▪ Firearms/Guns</li> <li>▪ Flood Control</li> <li>▪ Food Processing/Sales</li> <li>▪ Foreclosure</li> <li>▪ Garbage/Waste</li> <li>▪ Government</li> <li>▪ Government, Budget</li> <li>▪ Guns</li> <li>▪ Health Care/Public Health</li> <li>▪ Higher Education</li> <li>▪ Historic Preservation</li> <li>▪ Hospitality/Restaurant</li> <li>▪ Housing</li> <li>▪ Human Services</li> <li>▪ Industry/Manufacturing</li> <li>▪ Information Technology</li> <li>▪ Insurance</li> <li>▪ Job Training</li> <li>▪ Juvenile Justice</li> <li>▪ Labor</li> </ul>	<ul style="list-style-type: none"> <li>▪ Legal</li> <li>▪ LGBT Issues</li> <li>▪ Libraries</li> <li>▪ Literacy</li> <li>▪ Litter</li> <li>▪ Marketing/Sales</li> <li>▪ Media</li> <li>▪ Medical/Hospitals</li> <li>▪ Mental Health</li> <li>▪ Motion Pictures</li> <li>▪ Motor Vehicles</li> <li>▪ Museums</li> <li>▪ Music</li> <li>▪ Newsstand/Newspapers</li> <li>▪ Parking</li> <li>▪ Parks/Recreation</li> <li>▪ Pensions</li> <li>▪ Pharmaceutical Assistance</li> <li>▪ Pharmaceuticals</li> <li>▪ Police/Law Enforcement</li> <li>▪ Pollution</li> <li>▪ Prisons/Corrections</li> <li>▪ Public Employees</li> <li>▪ Public Interest</li> <li>▪ Public Records</li> <li>▪ Public Relations/Advertising</li> </ul>	<ul style="list-style-type: none"> <li>▪ Railroads</li> <li>▪ Real Estate</li> <li>▪ Recycling</li> <li>▪ Redistricting</li> <li>▪ Religious</li> <li>▪ Retail Sales</li> <li>▪ Schools</li> <li>▪ Senior Citizens</li> <li>▪ Small Business</li> <li>▪ Streets</li> <li>▪ Taxation</li> <li>▪ Technology</li> <li>▪ Telecommunications</li> <li>▪ Tobacco</li> <li>▪ Tourism/Travel</li> <li>▪ Trade or Professional Assoc.</li> <li>▪ Transportation</li> <li>▪ Utilities</li> <li>▪ Veterans Affairs</li> <li>▪ Wagering/Gaming</li> <li>▪ Waste Management</li> <li>▪ Water/Sewer</li> <li>▪ Women's Issues</li> <li>▪ Youth</li> <li>▪ Zoning</li> <li>▪ Other (please describe)</li> </ul>
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