



Interim Lobbying - Quarterly Expense Report

Received
JAN 28 2014
Philadelphia Board of Ethics

(Please Type or Print in Ink)			
<input type="checkbox"/> Check Box if AMENDMENT			
01 Today's Date (month/day/year)	Year	Quarter	PLIS Number (Office Use Only)
1/20/2014	2013	4	P0161
Type of Filer			
<input checked="" type="checkbox"/> Principal		<input type="checkbox"/> Lobbyist	<input type="checkbox"/> Lobbying Firm
02 FILER INFORMATION			
Name of Filer		Email Address	Phone Number
Franklin Square Capital Partners		Dominic.Folino@franklinsquare.com	215-220-4295
Permanent Business Address		City	State
2929 Arch Street, Suite 675		Philadelphia	PA
			Zip Code
			19104
03 LOBBYING EXPENDITURES			
<input checked="" type="checkbox"/> Total Lobbying Expenses did not exceed \$2,500 during this quarter. If you check this box, leave the rest of this section blank and move to Section 09.			
Total Expenditures for Direct Communication			\$
Total Expenditures for Indirect Communication			\$
Total Expenditures for Gifts, Hospitality, Transportation and Lodging			\$
Total Lobbying Expenditures for the Quarter			\$

04 LOBBYING CONDUCTED ON BEHALF OF THE PRINCIPAL – Direct Communication

Lobbying Category (Select from the list on page 9)	Specific Subject Matter (Issue, Bill Number)	Position Taken (Support/Oppose/Amend/Proposed/Other)	Name of City Official & Agency

06 CITY OFFICIALS/EMPLOYEES WHO RECEIVED GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING

Name of Recipient City Official/Employee	Department & Position of Recipient City Official/Employee	Description of Item	Value of Item	Date	Place of Receipt	Name & Address of the Source of the Item

07 REPAYMENTS TO PRINCIPALS FOR GIFTS, HOSPITALITY, TRANSPORTATION OR LODGING

Recipient of Item	Description of Item	Value of Item	Repayment Amount

08 SOURCE(S) OF CONTRIBUTIONS TO PRINCIPAL

Name of Individual, Association, Corporation, Partnership, Business Trust or Other Business	Permanent Business Address	City, State, Zip	Daytime Telephone Number

09 PRINCIPAL AFFIRMATION

By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

INDIVIDUAL AFFIRMING CONTENTS OF EXPENSE REPORT

NAME	PHONE NUMBER	EMAIL ADDRESS
Dominic Folino	215-270-4295	dominic.folino@franklin-square.com
SIGNATURE	DATE	
	1/27/14	

JAN 30 2014

Philadelphia Board of Ethics

NAME OF PRINCIPAL:

Franklin Square Capital

YEAR:

2013

QUARTER:

4

10 LOBBYIST OR LOBBYING FIRM AFFIRMATION - Use a separate sheet for each Lobbyist or Lobbying Firm.

Name of Lobbyist or Lobbying Firm	Email Address	Phone Number	
Lauren Vidas	lauren@hazzouri.com	215-645-2289	
Permanent Business Address	City	State	Zip Code
115 Chestnut Street	Philadelphia	PA	19106

LOBBYIST OR LOBBYING FIRM AFFIRMATION

- By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report and that the information reported herein is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.
- By affixing my signature to this expense report, I affirm that I have actual knowledge of the contents of this expense report to the extent described in my statement which follows. I affirm that the information reported on this expense report of which I have knowledge is valid, accurate, and complete to the best of my knowledge. To the best of my knowledge at all times relevant to this quarterly expense reporting period, I have complied with the requirements of City Code §20-1205(5). I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.
- By affixing my signature to this expense report, I affirm that I engaged in no lobbying activity for this principal during this expense report period. I acknowledge that this affirmation is being made subject to 18 Pa.C.S. § 4904 (relating to unsworn falsification to authorities). I consent to receive service of notices, other official mailings, or process at the address or email listed in this expense report.

AUTHORIZED SIGNATURE**DATE**

1/20/14

STATEMENT OF LIMITED KNOWLEDGE

Knowledge is limited to the activities of Hazzouri and Associates.

JAN 30 2014

Philadelphia Board of Ethics

NAME OF PRINCIPAL: Franklin Square Capital Partners

YEAR: 2013

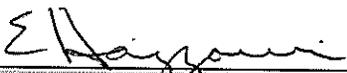
QUARTER: 4

10 LOBBYIST OR LOBBYING FIRM AFFIRMATION - Use a separate sheet for each Lobbyist or Lobbying Firm.

Name of Lobbyist or Lobbying Firm		Email Address		Phone Number	
Ed Hazzouri		ed@hazzouri.com		215-645-2289	
Permanent Business Address		City		State	Zip Code
115 Chestnut Street		Philadelphia		PA	19106

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AUTHORIZED SIGNATURE	DATE
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